



Listing and Technical Evaluation Report[™]

A Duly Authenticated Report from an Approved Agency

Report No: 1611-01



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FastenMaster® FlatLOK® Fasteners – Ledger Connection to Wood-Framed Walls

Trade Secret Report Holder:

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CSI Designations:

DIVISION: 06 00 00 - WOOD, PLASTICS AND COMPOSITES

Section: 06 05 23 - Wood, Plastic, and Composite Fastenings

1 Innovative Product Evaluated¹

1.1 FastenMaster FlatLOK Fasteners

2 Product Description and Materials

2.1 The innovative product evaluated in this report is shown in **Figure 1**.

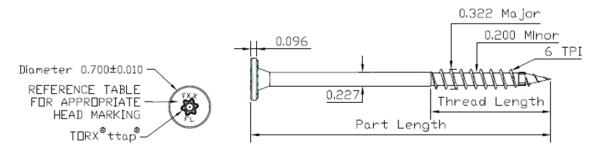


Figure 1. FastenMaster FlatLOK Fasteners

- 2.2 FlatLOK Fasteners are manufactured using a standard cold-formed process followed by a heat-treating process.
- 2.3 FlatLOK Fasteners are coated with a proprietary coating that exceeds the protection provided by hot-dipped galvanized coatings conforming to ASTM A153.





- 2.4 FlatLOK Fasteners are approved for use in interior or exterior conditions and in chemically treated or untreated lumber where ASTM A153 coatings are approved for use in accordance with <u>IBC Section 2304.10</u> and <u>IRC Section R304.3</u>.²
 - 2.4.1 The proprietary coating has been tested and found to exceed the protection provided by code approved hot dipped galvanized coatings meeting ASTM A153 (<u>IBC Section 2304.10.6</u>³ and <u>IRC Section R304.3</u>⁴), allowing for its use in Alkaline Copper Quaternary (ACQ) pressure treated wood.
 - 2.4.2 FlatLOK Fasteners are approved for use in fire-retardant treated lumber, provided the conditions set forth by the fire-retardant treated lumber manufacturer are met, including appropriate strength reductions.
- 2.5 The FlatLOK Fasteners evaluated in this report are outlined in **Table 1**.

Fastener Name	Marking	Head (in)		Fastener Length ¹	Shank Diameter ²	Thread Length ¹	Thread Diameter (in)		Nominal Bending Yield, ³ F _{vb}	Allowable Fastener Strength (lb)	
		Diameter	Thickness	(in)	(in)	(in)	Minor	Major	(psi)	Tensile	Shear
FlatLOK	F5.0FL	0.70	0.095	5	0.227	2.00	0.200	0.322	171,600	1,940	1,230

Table 1. FlatLOK Fasteners Specifications

SI: 1 in = 25.4 mm, 1 lb = 4.45 N, 1 psi = 0.00689 MPa

1. Fastener length is measured from the topside of the head to the tip. Thread length includes tapered tip (Figure 1).

2. Shank diameter based on manufactured thickness. Finished dimensions are larger, due to the proprietary coatings added.

3. Bending yield determined at shank diameter.

2.6 As needed, review material properties for design in **Section 6** and the regulatory evaluation in **Section 8**.

3 Definitions⁵

- 3.1 <u>New Materials</u>⁶ are defined as building materials, equipment, appliances, systems, or methods of construction, not provided for by prescriptive and/or legislatively adopted regulations, known as alternative materials.⁷ The <u>design strength</u> and permissible stresses shall be established by tests⁸ and/or engineering analysis.⁹
- 3.2 <u>Duly authenticated reports</u>¹⁰ and <u>research reports</u>¹¹ are test reports and related engineering evaluations that are written by an <u>approved agency</u>¹² and/or an <u>approved source</u>.¹³
 - 3.2.1 These reports utilize intellectual property and/or trade secrets to create public domain material properties for commercial end-use.
 - 3.2.1.1 This report protects confidential Intellectual Property and trade secretes under the regulation, <u>18.US.Code.90</u>, also known as <u>Defend Trade Secrets Act of 2016</u> (DTSA).¹⁴
- 3.3 An approved agency is *"approved"* when it is <u>ANAB ISO/IEC 17065 accredited</u>. DrJ Engineering, LLC (DrJ) is accredited and listed in the <u>ANAB directory</u>.
- 3.4 An <u>approved source</u> is *"approved*" when a professional engineer (i.e., <u>Registered Design Professional</u>, hereinafter <u>RDP</u>) is properly licensed to transact engineering commerce. The regulatory authority governing approved sources is the <u>state legislature</u> via its professional engineering regulations.¹⁵
- 3.5 Testing and/or inspections conducted for this <u>duly authenticated report</u> were performed by an <u>ISO/IEC 17025</u> <u>accredited testing laboratory</u>, an <u>ISO/IEC 17020 accredited inspection body</u>, and/or a licensed <u>RDP</u>.
 - 3.5.1 The <u>Center for Building Innovation</u> (CBI) is <u>ANAB¹⁶ ISO/IEC 17025</u> and <u>ISO/IEC 17020</u> accredited.
- 3.6 The regulatory authority shall <u>enforce</u>¹⁷ the specific provisions of each legislatively adopted regulation. If there is a non-conformance, the specific regulatory section and language of the non-conformance shall be provided in <u>writing</u>¹⁸ stating the nonconformance and the path to its cure.





- 3.7 The regulatory authority shall accept <u>duly authenticated reports</u> from an <u>approved agency</u> and/or an <u>approved</u> <u>source</u> with respect to the quality and manner of use of new materials or assemblies as provided for in regulations regarding the use of alternative materials, designs, or methods of construction.¹⁹
- 3.8 ANAB is an <u>International Accreditation Forum</u> (IAF) <u>Multilateral Recognition Arrangement</u> (MLA) signatory. Therefore, recognition of certificates and validation statements issued by conformity assessment bodies accredited by all other signatories of the IAF MLA with the appropriate scope shall be approved.²⁰ Thus, all ANAB ISO/IEC 17065 <u>duly authenticated reports</u> are approval equivalent,²¹ and can be used in any country that is an MLA signatory found at this link: <u>https://iaf.nu/en/recognised-abs/</u>
- 3.9 Approval equity is a fundamental commercial and legal principle.²²

4 Applicable Standards for the Listing; Regulations for the Regulatory Evaluation²³

- 4.1 Standards
 - 4.1.1 ANSI/AWC NDS: National Design Specification (NDS) for Wood Construction
 - 4.1.2 ASTM A153: Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware
 - 4.1.3 ASTM A510: Standard Specification for General Requirements for Wire Rods and Coarse Round Wire, Carbon Steel, and Alloy Steel
 - 4.1.4 ASTM D1761: Standard Test Methods for Mechanical Fasteners in Wood

4.2 Regulations

- 4.2.1 IBC 15, 18, 21, 24: International Building Code®
- 4.2.2 IRC 15, 18, 21, 24: International Residential Code®
- 4.2.3 IECC 15, 18, 21, 24: International Energy Conservation Code®

5 Listed²⁴

5.1 Equipment, materials, products, or services included in a List published by a <u>nationally recognized testing</u> <u>laboratory</u> (i.e., CBI), an <u>approved agency</u> (i.e., CBI and DrJ), and/or and <u>approved source</u> (i.e., DrJ), or other organization(s) concerned with product evaluation (i.e., DrJ), that maintains periodic inspection (i.e., CBI) of production of listed equipment or materials, and whose listing states either that the equipment or material meets nationally recognized standards or has been tested and found suitable for use in a specified manner.

6 Tabulated Properties Generated from Nationally Recognized Standards

- 6.1 General
 - 6.1.1 FlatLOK Fasteners are used to attach a ledger board to a stud through one or two layers of gypsum wallboard in conventional light-frame construction and provide resistance to lateral loads applied vertically to the ledger. See Section 9 for installation requirements.
 - 6.1.2 FlatLOK Fasteners may be installed without the lead holes.
 - 6.1.2.1 Where lead holes are used, provisions from <u>NDS Section 12.1</u> shall be followed.

6.1.3 Design:

- 6.1.3.1 Design of FlatLOK Fasteners is governed by the applicable code and the provisions for dowel-type fasteners in NDS.
- 6.1.3.2 Unless otherwise noted, adjustment of the design stresses for duration of load shall be in accordance with the applicable code.





6.2 FlatLOK Fasteners Reference Lateral Design Values

- 6.2.1 The reference lateral design values in ledger connection applications for FlatLOK Fasteners are specified in **Table 2** using a load duration factor, C_D, of 1.0, and **Figure 2** through **Figure 10**.
 - 6.2.1.1 Per <u>NDS Section 11.3.2</u>, connection design properties may be adjusted by a load duration factor listed in <u>NDS Table 2.3.2</u>.
 - 6.2.1.1.1 These loads are generally not combined with other loads (i.e dead, live, etc.).
 - 6.2.1.2 When a load duration factor, C_D, is applied to the ASD values for uplift, the resulting ASD value shall not exceed the allowable screw tension design value of 1,940 lbs. per **Table 1**.

				Allowable Load per Stud Connection ¹ (Ib)			
Fastener	Ledger	Figure	Fasteners	Lumber Species (Specific Gravity)			
	Size	Number	per Stud ^{2,3}	SPF (0.42)	DF-L (0.50)	SP (0.55)	
FlatLOK 5"	2x6	Figure 2, Figure 5, and Figure 8	2	360	510	795	
	2x8	Figure 3, Figure 6, and Figure 9	2	580	735	900	
	2x10	Figure 4, Figure 7, and Figure 10	3	805	860	1,075	

Table 2. FlatLOK Fasteners Design Values^{4,5,6,7,8,9}

SI: 1 in = 25.4 mm, 1 lb = 4.45 N

1. Adjusted for specific gravity of lumber

2. Additional fasteners prohibited.

3. Minimum fastener penetration of 21/4" into the main member

4. The tabulated values apply where the ledger is applied either directly over the studs or with up to two layers of 5/8" gypsum between the ledger and studs.

5. Allowable loads shall be limited to parallel-to-grain loaded solid sawn main members (minimum 2" nominal). Wood side members shall be loaded perpendicular to grain.

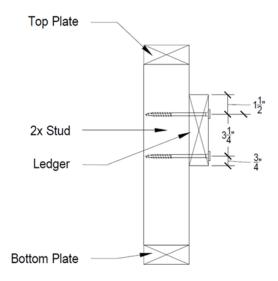
6. Allowable loads are shown at the wood load duration factor of C_D = 1.00. Loads may be increased for load duration as permitted by the building code up to a C_D = 1.60. All adjustment factors shall be applied per NDS. For in-service moisture content greater than 19%, use C_M = 0.70.

7. Fasteners shall be centered in the stud and spaced as shown in the details. The stud minimum end distance is 6³/₄" when loaded toward the end and 4" when loaded away from the end. The ledger end distance is 6" for full values. For ledger end distances between 2" and 6", use fifty percent (50%) of the table loads. For end distances between 2" and 4", predrill using a ⁵/₃₂" bit.

8. For LRFD values, the reference connection design values shall be adjusted in accordance with the <u>NDS Section 11.3</u>.

9. Gypsum board must be attached as required per the building code.







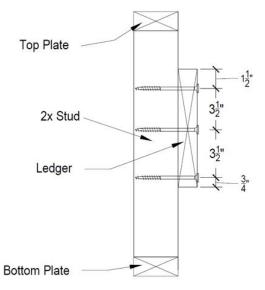
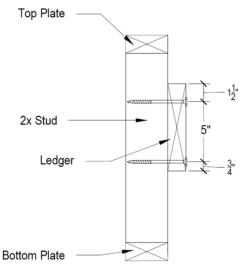
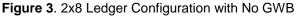


Figure 4. 2x10 Ledger Configuration with No GWB





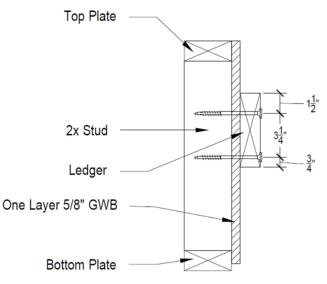
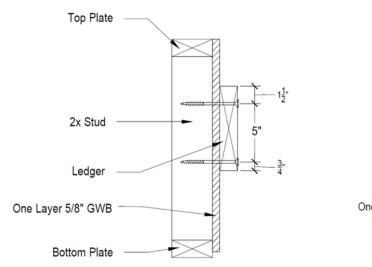


Figure 5. 2x6 Ledger Configuration with 1 Layer GWB





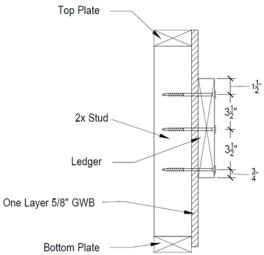


Figure 6. 2x8 Ledger Configuration with 1 Layer GWB Figure 7. 2x10 Ledger Configuration with 1 Layer GWB

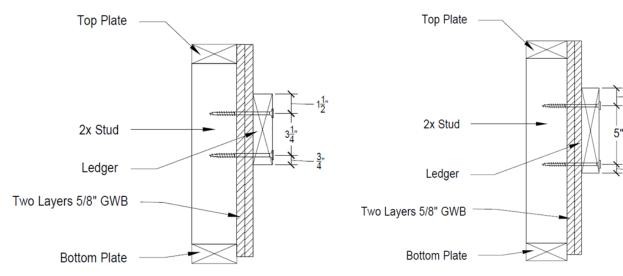


Figure 8. 2x6 Ledger Configuration with 2 Layers GWB Figure 9. 2x8 Ledger Configuration with 2 Layers GWB

3







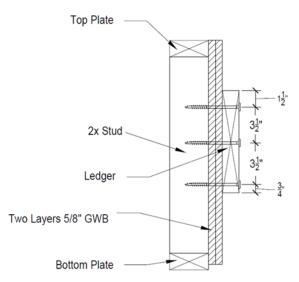


Figure 10. 2x10 Ledger Configuration with 2 Layers GWB

6.3 Where the application falls outside of the performance evaluation, conditions of use, and/or installation requirements set forth herein, alternative techniques shall be permitted in accordance with accepted engineering practice and experience. This includes but is not limited to the following areas of engineering: mechanics or materials, structural, building science, and fire science.

7 Certified Performance²⁵

- 7.1 All construction methods shall conform to accepted engineering practices to ensure durable, livable, and safe construction and shall demonstrate acceptable workmanship reflecting journeyman quality of work of the various trades.²⁶
- 7.2 The strength and rigidity of the component parts and/or the integrated structure shall be determined by engineering analysis or by suitable load tests to simulate the actual loads and conditions of application that occur.²⁷

8 Regulatory Evaluation and Accepted Engineering Practice

- 8.1 FlatLOK Fasteners comply with the following legislatively adopted regulations and/or accepted engineering practice for the following reasons:
 - 8.1.1 FlatLOK Fasteners were tested and evaluated to determine their structural resistance properties, which are used to develop reference design values for Allowable Stress Design (ASD). The following conditions were evaluated:
 - 8.1.1.1 Lateral strength of ledger connections to wood framed walls in accordance with ASTM D1761. This application includes zero, one, or two layers of ⁵/₈" gypsum between the ledger and the wall studs (see **Figure 2** through **Figure 10**).
- 8.2 Any building code, regulation and/or accepted engineering evaluations (i.e., <u>research reports</u>, <u>duly</u> <u>authenticated reports</u>, etc.) that are conducted for this Listing were performed by DrJ, which is an <u>ISO/IEC</u> <u>17065 accredited certification body</u> and a professional engineering company operated by <u>RDP</u> or <u>approved</u> <u>sources</u>. DrJ is qualified²⁸ to practice product and regulatory compliance services within its <u>scope of</u> <u>accreditation and engineering expertise</u>,²⁹ respectively.





- 8.3 Engineering evaluations are conducted with DrJ's ANAB <u>accredited ICS code scope</u> of expertise, which is also its areas of professional engineering competence.
- 8.4 Any regulation specific issues not addressed in this section are outside the scope of this report.

9 Installation

- 9.1 Installation shall comply with the approved construction documents, the manufacturer installation instructions, this report, and the applicable building code.
- 9.2 In the event of a conflict between the manufacturer installation instructions and this report, contact the manufacturer for counsel on the proper installation method.
- 9.3 Use a ¹/₂" low RPM/high torque drill to drive the fastener head flush with the surface of the framing member using the driver bit included with the fasteners.
- 9.4 Fastener schedule for installation is depicted in Figure 2 through Figure 10 and stated in Table 2.

10 Substantiating Data

- 10.1 Testing has been performed under the supervision of a professional engineer and/or under the requirements of ISO/IEC 17025 as follows:
 - 10.1.1 Lateral resistance testing of the ledger-to-stud connection using FlatLOK Fasteners in accordance with ASTM D1761
- 10.2 Information contained herein may include the result of testing and/or data analysis by sources that are <u>approved agencies</u>, <u>approved sources</u>, and/or an <u>RDP</u>. Accuracy of external test data and resulting analysis is relied upon.
- 10.3 Where applicable, testing and/or engineering analysis are based upon provisions that have been codified into law through state or local adoption of regulations and standards. The developers of these regulations and standards are responsible for the reliability of published content. DrJ's engineering practice may use a regulation-adopted provision as the control. A regulation-endorsed control versus a simulation of the conditions of application to occur establishes a new material as <u>being equivalent</u> to the regulatory provision in terms of quality, <u>strength</u>, effectiveness, <u>fire resistance</u>, durability, and safety.
- 10.4 The accuracy of the provisions provided herein may be reliant upon the published properties of raw materials, which are defined by the grade mark, grade stamp, mill certificate, or <u>duly authenticated reports</u> from <u>approved</u> <u>agencies</u> and/or <u>approved sources</u> provided by the supplier. These are presumed to be minimum properties and relied upon to be accurate. The reliability of DrJ's engineering practice, as contained in this <u>duly</u> <u>authenticated report</u>, may be dependent upon published design properties by others.
- 10.5 Testing and Engineering Analysis:
 - 10.5.1 The strength, rigidity, and/or general performance of component parts and/or the integrated structure are determined by suitable tests that simulate the actual conditions of application that occur and/or by accepted engineering practice and experience.³⁰
- 10.6 Where additional condition of use and/or regulatory compliance information is required, please search for FlatLOK Fasteners on the <u>DrJ Certification website</u>.

11 Findings

- 11.1 As outlined in **Section 6**, FlatLOK Fasteners have performance characteristics that were tested and/or meet applicable regulations. In addition, they are suitable for use pursuant to its specified purpose.
- 11.2 When used and installed in accordance with this <u>duly authenticated report</u> and the manufacturer installation instructions, FlatLOK Fasteners shall be approved for the following applications:
 - 11.2.1 Use as an alternative to those fasteners prescribed by the applicable code.





- 11.3 Unless exempt by state statute, when FlatLOK Fasteners are to be used as a structural and/or building envelope component in the design of a specific building, the design shall be performed by an <u>RDP</u>.
- 11.4 Any application specific issues not addressed herein can be engineered by an <u>RDP</u>. Assistance with engineering is available from FastenMaster.
- 11.5 IBC Section 104.2.3 (IRC Section R104.2.2 and IFC Section 104.2.3³¹ are similar) in pertinent part state:

104.2.3 Alternative Materials, Design and Methods of Construction and Equipment. The provisions of this code are not intended to prevent the installation of any material or to prohibit any design or method of construction not specifically prescribed by this code, provided that any such alternative is not specifically prohibited by this code and has been approved.

- 11.6 Approved:³² Building regulations require that the building official shall accept duly authenticated reports.³³
 - 11.6.1 An approved agency is "approved" when it is ANAB ISO/IEC 17065 accredited.
 - 11.6.2 An <u>approved source</u> is *"approved"* when an <u>RDP</u> is properly licensed to transact engineering commerce.
 - 11.6.3 Federal law, <u>Title 18 US Code Section 242</u>, requires that, where the alternative product, material, service, design, assembly, and/or method of construction is not approved, the building official shall respond in writing, stating the reasons why the alternative was not approved. Denial without written reason deprives a protected right to free and fair competition in the marketplace.
- 11.7 DrJ is a licensed engineering company, employs licensed <u>RDP</u>s and is an <u>ANAB Accredited Product</u> <u>Certification Body</u> – <u>Accreditation #1131</u>.
- 11.8 Through the <u>IAF Multilateral Arrangement</u> (MLA), this <u>duly authenticated report</u> can be used to obtain product approval in any <u>jurisdiction</u> or <u>country</u> because all ANAB ISO/IEC 17065 <u>duly authenticated reports</u> are equivalent.³⁴

12 Conditions of Use

- 12.1 Material properties shall not fall outside the boundaries defined in Section 6.
- 12.2 As defined in **Section 6**, where material and/or engineering mechanics properties are created for load resisting design purposes, the resistance to the applied load shall not exceed the ability of the defined properties to resist those loads using the principles of accepted engineering practice.
- 12.3 When required by adopted legislation and enforced by the <u>building official</u>, also known as the Authority Having Jurisdiction (AHJ) in which the project is to be constructed:
 - 12.3.1 Any calculations incorporated into the construction documents shall conform to accepted engineering practice and, when prepared by an <u>approved source</u>, shall be approved when signed and sealed.
 - 12.3.2 This report and the installation instructions shall be submitted at the time of <u>permit</u> application.
 - 12.3.3 This innovative product has an internal quality control program and a third-party quality assurance program.
 - 12.3.4 At a minimum, this innovative product shall be installed per **Section 9**.
 - 12.3.5 The review of this report by the AHJ shall comply with <u>IBC Section 104.2.3.2</u> and <u>IBC Section 105.3.1</u>.
 - 12.3.6 This innovative product has an internal quality control program and a third party quality assurance program in accordance with IBC Section 104.7.2, IBC Section 110.4, IBC Section 1703, IRC Section R104.7.2, and IRC Section R109.2.
 - 12.3.7 The application of this innovative product in the context of this report is dependent upon the accuracy of the construction documents, implementation of installation instructions, inspection as required by <u>IBC</u> <u>Section 110.3</u>, <u>IRC Section R109.2</u>, and any other regulatory requirements that may apply.





- 12.4 The approval of this report by the AHJ shall comply with <u>IBC Section 1707.1</u>, where legislation states in part, "the <u>building official</u> shall make, or cause to be made, the necessary tests and investigations; or the <u>building</u> <u>official</u> shall accept duly authenticated reports from <u>approved agencies</u> in respect to the quality and manner of use of new materials or assemblies as provided for in <u>Section 104.2.3</u>", all of <u>IBC Section 104</u>, and <u>IBC Section 105.3</u>.
- 12.5 <u>Design loads</u> shall be determined in accordance with the regulations adopted by the jurisdiction in which the project is to be constructed and/or by the building designer (i.e., <u>owner</u> or <u>RDP</u>).
- 12.6 The actual design, suitability, and use of this report for any particular building, is the responsibility of the owner or the authorized agent of the <u>owner</u>.

13 Identification

- 13.1 The innovative product listed in **Section 1.1** is identified by a label on the board or packaging material bearing the manufacturer name, product name, this report number, and other information to confirm code compliance.
- 13.2 Additional technical information can be found at <u>www.fastenmaster.com</u>.

14 Review Schedule

- 14.1 This report is subject to periodic review and revision. For the latest version, visit <u>www.drjcertification.org</u>.
- 14.2 For information on the status of this report, please contact <u>DrJ Certification</u>.

15 Approved for Use Pursuant to United States and International Legislation Defined in Appendix A

15.1 FlatLOK Fasteners are included in this report published by an approved agency that is concerned with evaluation of products or services, maintains periodic inspection of the production of listed materials or periodic evaluation of services. This report states either that the material, product, or service meets recognized standards or has been tested and found suitable for a specified purpose. This report meets the legislative intent and definition of being acceptable to the AHJ.





Appendix A

1 Legislation that Authorizes AHJ Approval

- 1.1 **Fair Competition:** <u>State legislatures</u> have adopted Federal regulations for the examination and approval of building code referenced and alternative products, materials, designs, services, assemblies, and/or methods of construction that:
 - 1.1.1 Advance innovation.
 - 1.1.2 Promote competition so all businesses have the opportunity to compete on price and quality in an open market on a level playing field unhampered by anticompetitive constraints.
 - 1.1.3 Benefit consumers through lower prices, better quality, and greater choice.
- 1.2 **Adopted Legislation:** The following local, state, and federal regulations affirmatively authorize products to be approved by AHJs, delegates of building departments, and/or delegates of an agency of the federal government:
 - 1.2.1 Interstate commerce is governed by the <u>Federal Department of Justice</u> to encourage the use of innovative products, materials, designs, services, assemblies, and/or methods of construction. The goal is to *"protect economic freedom and opportunity by promoting free and fair competition in the marketplace"*.
 - 1.2.2 <u>Title 18 US Code Section 242</u> affirms and regulates the right of individuals and businesses, to freely and fairly have new products, materials, designs, services, assemblies, and/or methods of construction approved for use in commerce. Disapproval of alternatives shall be based upon non-conformance with respect to specific provisions of adopted legislation and shall be provided in writing <u>stating the reasons</u> why the alternative was not approved, with reference to the specific legislation violated.
 - 1.2.3 The <u>federal government</u> and each state have a <u>public records act</u>. In addition, each state also has legislation that mimics the federal <u>Defend Trade Secrets Act 2016</u> (DTSA),³⁵ where providing test reports, engineering analysis, and/or other related IP/TS is subject to <u>prison of not more than ten years</u>³⁶ and/or a <u>\$5,000,000 fine or three (3) times the value of</u>³⁷ the Intellectual Property (IP) and Trade Secrets (TS).
 - 1.2.3.1 Compliance with public records and trade secret legislation requires approval through the use of Listings, certified reports, Technical Evaluation Reports, duly authenticated reports, and/or research reports prepared by approved agencies and/or approved sources.
 - 1.2.4 For <u>new materials</u>³⁸ that are not specifically provided for in any regulation, the <u>design strengths and</u> <u>permissible stresses</u> shall be established by <u>tests</u>, where <u>suitable load tests simulate the actual loads and</u> <u>conditions of application that occur</u>.
 - 1.2.5 The <u>design strengths and permissible stresses</u> of any structural material shall <u>conform</u> to the specifications and methods of design using accepted engineering practice.³⁹
 - 1.2.6 The commerce of <u>approved sources</u> (i.e., registered PEs) is regulated by <u>professional engineering</u> <u>legislation</u>. Professional engineering <u>commerce shall always be approved</u> by AHJs, except where there is evidence provided in writing, that specific legislation have been violated by an individual registered PE.
 - 1.2.7 The AHJ shall accept <u>duly authenticated reports</u> from <u>approved agencies</u> in respect to the quality and manner of use of new materials or assemblies as provided for in <u>IBC Section 104.2.3</u>.⁴⁰





- 1.3 Approved⁴¹ by Los Angeles: The Los Angeles Municipal Code (LAMC) states in pertinent part, that the provisions of LAMC are not intended to prevent the use of any material, device, or method of construction, not specifically prescribed by LAMC. The Department shall use Part III, Recognized Standards in addition to Part II, Uniform Building Code Standards of <u>Division 35</u>, <u>Article 1</u>, <u>Chapter IX</u> of the LAMC in evaluation of products for approval where such standard exists for the product or the material and may use other approved standards that apply. Whenever tests or certificates of any material or fabricated assembly are required by <u>Chapter IX</u> of the LAMC, such tests or certification shall be made by a <u>testing agency</u> approved by the Superintendent of Building to conduct such tests or provide such certifications. The testing agency shall publish the scope and limitation(s) of the listed material or fabricated assembly.⁴² The Superintendent of Building <u>Approved Testing Agency Roster</u> is provided by the Los Angeles Department of Building and Safety (LADBS). The Center for Building Innovation (CBI) Certificate of Approval License is <u>TA24945</u>. Tests and certifications found in a <u>DrJ Listing</u> are LAMC approved. In addition, the Superintendent of Building shall accept <u>duly authenticated reports</u> from <u>approved agencies</u> in respect to the quality and manner of use of new materials or assemblies as provided for in the <u>California Building Code, CBC Section 1707.1</u>.⁴³
- 1.4 Approved by Chicago: The Municipal Code of Chicago (MCC) states in pertinent part that an <u>approved</u> agency is a Nationally Recognized Testing Laboratory (NRTL) acting within its recognized scope and/or a certification body accredited by the <u>American National Standards Institute</u> (ANSI) acting within its accredited scope. Construction materials and test procedures shall conform to the applicable standards listed in the MCC. Sufficient technical data shall be submitted to the building official to substantiate the proposed use of any product, material, service, design, assembly, and/or method of construction, not specifically provided for in the MCC. This technical data shall consist of <u>research reports</u> from <u>approved sources</u> (i.e., MCC defined <u>approved agencies</u>).
- 1.5 Approved by New York City: The 2022 NYC Building Code (NYCBC) states in part that an approved agency shall be deemed⁴⁴ an approved testing agency via <u>ISO/IEC 17025</u> accreditation, an approved inspection agency via <u>ISO/IEC 17020</u> accreditation, and an approved product evaluation agency via <u>ISO/IEC 17065</u> accreditation. Accrediting agencies, other than federal agencies, must be members of an internationally recognized cooperation of laboratory and inspection accreditation bodies subject to a mutual recognition agreement⁴⁵ (i.e., <u>ANAB</u>, <u>International Accreditation Forum</u> also known as IAF, etc.).
- 1.6 **Approved by Florida:** <u>Statewide approval</u> of products, methods, or systems of construction, shall be approved without further evaluation by:
 - 1.6.1 A certification mark or listing of an approved certification agency
 - 1.6.2 A test report from an approved testing laboratory
 - 1.6.3 A product evaluation report based upon testing or comparative or rational analysis, or a combination thereof, from an approved product evaluation entity
 - 1.6.4 A product evaluation report based upon testing, comparative or rational analysis, or a combination thereof, developed, signed, and sealed by a professional engineer or architect, licensed in Florida.
 - 1.6.5 For local product approval, products or systems of construction shall demonstrate compliance with the structural wind load requirements of the Florida Building Code (FBC) through one of the following methods:
 - 1.6.5.1 A certification mark, listing, or label from a commission-approved certification agency indicating that the product complies with the code.
 - 1.6.5.2 A test report from a commission-approved testing laboratory indicating that the product tested complies with the code.
 - 1.6.5.3 A product evaluation report based upon testing, comparative or rational analysis, or a combination thereof, from a commission-approved product evaluation entity, which indicates that the product evaluated complies with the code.





- 1.6.5.4 A product evaluation report or certification based upon testing, comparative, or rational analysis, or a combination thereof, developed, signed, and sealed by a Florida professional engineer or Florida registered architect, which indicates that the product complies with the code.
- 1.6.5.5 A statewide product approval issued by the Florida Building Commission.
- 1.6.6 The <u>Florida Department of Business and Professional Regulation</u> (DBPR) website provides a listing of companies certified as a <u>Product Evaluation Agency</u> (i.e., EVLMiami 13692), a <u>Product Certification</u> <u>Agency</u> (i.e., CER10642), and as a <u>Florida Registered Engineer</u> (i.e., ANE13741).
- 1.7 **Approved by Miami-Dade County (i.e., Notice of Acceptance [NOA]):** A Florida statewide approval is an NOA. An NOA is a Florida local product approval. By Florida law, Miami-Dade County shall accept the statewide and local Florida Product Approval as provided for in Florida legislation <u>553.842</u> and <u>553.8425</u>.
- 1.8 **Approved by New Jersey:** Pursuant to the 2018 Building Code of New Jersey in <u>IBC Section 1707.1</u> <u>General</u>,⁴⁶ it states: "In the absence of approved rules or other approved standards, the building official shall accept duly authenticated reports from <u>approved agencies</u> in respect to the quality and manner of use of new materials or assemblies as provided for in the administrative provisions of the Uniform Construction Code (<u>N.J.A.C. 5:23</u>)".⁴⁷ Furthermore N.J.A.C 5:23-3.7 states: "Municipal approvals of alternative materials, equipment, or methods of construction".
 - 1.8.1 **Approvals:** Alternative materials, equipment, or methods of construction shall be approved by the appropriate subcode official, provided the proposed design is satisfactory and that the materials, equipment, or methods of construction are suitable for the intended use and are at least the equivalent in quality, strength, effectiveness, fire resistance, durability, and safety of those conforming with the requirements of the regulations.
 - 1.8.1.1 A field evaluation label and report or letter issued by a nationally recognized testing laboratory verifying that the specific material, equipment, or method of construction meets the identified standards or has been tested and found to be suitable for the intended use, shall be accepted by the appropriate subcode official as meeting the requirements of the above.
 - 1.8.1.2 Reports of engineering findings issued by nationally recognized evaluation service programs such as, but not limited to, the Building Officials and Code Administrators (BOCA), the International Conference of Building Officials (ICBO), the Southern Building Code Congress International (SBCCI), the International Code Council (ICC), and the National Evaluation Service, Inc., shall be accepted by the appropriate subcode official as meeting the requirements of the above.
 - 1.8.2 The <u>New Jersey Department of Community Affairs</u> has confirmed that technical evaluation reports, from any accredited entity listed by <u>ANAB</u>, meets the requirements of item the previous paragraph, given that the listed entities are no longer in existence and/or do not provide *"reports of engineering findings"*.
- 1.9 **Approved by the Code of Federal Regulations Manufactured Home Construction and Safety Standards:** Pursuant to Title 24, Subtitle B, Chapter XX, <u>Part 3282.14</u>⁴⁸ and <u>Part 3280</u>,⁴⁹ the Department encourages innovation and the use of new technology in manufactured homes. The design and construction of a manufactured home shall conform to the provisions of Part 3282 and Part 3280 where key approval provisions in mandatory language follow:
 - 1.9.1 *"All construction methods shall be in conformance with accepted engineering practices."*
 - 1.9.2 "The strength and rigidity of the component parts and/or the integrated structure shall be determined by engineering analysis or by suitable load tests to simulate the actual loads and conditions of application that occur."
 - 1.9.3 "The design stresses of all materials shall conform to accepted engineering practice."





- 1.10 **Approval by US, Local, and State Jurisdictions in General:** In all other local and state jurisdictions, the adopted building code legislation states in pertinent part that:
 - 1.10.1 For <u>new materials</u> that are not specifically provided for in this code, the <u>design strengths and permissible</u> <u>stresses</u> shall be established by tests.⁵⁰
 - 1.10.2 For innovative <u>alternatives</u> and/or methods of construction, the building official shall accept <u>duly</u> <u>authenticated reports</u> from <u>approved agencies</u> with respect to the quality and manner of use of <u>new</u> <u>materials or assemblies</u>.⁵¹
 - 1.10.2.1 An <u>approved agency</u> is *"approved"* when it is <u>ANAB ISO/IEC 17065 accredited</u>. DrJ is in the <u>ANAB</u> <u>directory</u>.
 - 1.10.2.2 An <u>approved source</u> is *"approved"* when an <u>RDP</u> is properly licensed to transact engineering commerce. The regulatory authority governing approved sources is the <u>state legislature</u> via its professional engineering regulations.⁵²
 - 1.10.3 The <u>design strengths and permissible stresses</u> of any structural material...shall conform to the specifications and methods of design of accepted engineering practice performed by an <u>approved</u> <u>source</u>.⁵³
- 1.11 **Approval by International Jurisdictions:** The <u>USMCA</u> and <u>GATT</u> agreements provide for approval of innovative materials, designs, services, and/or methods of construction through the <u>Agreement on Technical</u> <u>Barriers to Trade</u> and the <u>IAF Multilateral Recognition Arrangement</u> (MLA), where these agreements:
 - 1.11.1 State that <u>conformity assessment procedures</u> (i.e., ISO/IEC 17020, 17025, 17065, etc.) are prepared, adopted, and applied so as to grant access for suppliers of like products originating in the territories of other Members under conditions no less favourable than those accorded to suppliers of like products of national origin or originating in any other country, in a comparable situation.
 - 1.11.2 **Approved:** The <u>purpose of the MLA</u> is to ensure mutual recognition of accredited certification and validation/verification statements between signatories to the MLA and subsequently, acceptance of accredited certification and validation/verification statements in many markets based on one accreditation for the timely approval of innovative materials, designs, services, and/or methods of construction.
 - 1.11.3 ANAB is an <u>IAF MLA</u> signatory where recognition of certificates, validation, and verification statements issued by conformity assessment bodies accredited by all other signatories of the IAF MLA, with the appropriate scope, shall be approved.⁵⁴
 - 1.11.4 Therefore, all ANAB ISO/IEC 17065 duly authenticated reports are approval equivalent.⁵⁵
- 1.12 Approval equity is a fundamental commercial and legal principle.⁵⁶





Notes

- For more information, visit <u>drjcertification.org</u> or call us at 608-310-6748.
- ² 2021, 2018, 2015 IRC Section R317.3
- ³ 2018 IBC Section 2304.10.5
- ⁴ 2021, 2018, 2015 IRC Section R317.3
- ⁵ Capitalized terms and responsibilities are defined pursuant to the applicable building code, applicable reference standards, the latest edition of <u>TPI1</u>, the <u>NDS</u>, <u>AISI S202</u>, <u>US</u> professional engineering law, <u>Canadian building code</u>, <u>Canada professional engineering law</u>, <u>Qualtim External Appendix A: Definitions/Commentary</u>, <u>Qualtim External Appendix B:</u> <u>Project/Deliverables</u>, <u>Qualtim External Appendix C: Intellectual Property and Trade Secrets</u>, definitions created within Design Drawings and/or definitions within Reference Sheets. Beyond this, terms not defined shall have ordinarily accepted meanings as the context implies. Words used in the present tense include the future; words stated in the masculine gender include the feminine and neuter; the singular number includes the plural and the plural, the singular.
- 6 https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-tests#1702
- ⁷ Alternative Materials, Design and Methods of Construction and Equipment: The provisions of any regulation code are not intended to prevent the installation of any material or to prohibit any design or method of construction not specifically prescribed by a regulation. Please review <u>https://www.justice.gov/atr/mission</u> and https://up.codes/viewer/mississippi/ibc-2024/chapter/1/scope-and-administration#104.2.3
- 8 <u>https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-totat/17/Special-inspectial-inspectial-inspectial-inspectial-inspecial-inspectial-inspectial-inspectial-inspecti</u>
- tests#1706.2:~:text=the%20design%20strengths%20and%20permissible%20stresses%20shall%20be%20established%20by%20tests
- ⁹ The design strengths and permissible stresses of any structural material shall conform to the specifications and methods of design of accepted engineering practice. https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-tests#1706.1:~:text=Conformance%20to%20Standards-
- ,The%20design%20strengths%20and%20permissible%20stresses,-of%20any%20structural
- https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-andtests#1707.1:~:text=the%20building%20official%20shall%20make%2C%20or%20cause%20to%20be%20made%2C%20the%20necessary%20tests%20and%20investigations%3B %20or%20the%20building%20official%20shall%20accept%20duly%20authenticated%20reports%20from%20approved%20agencies%20in%20respect%20to%20the%20quality%2 0and%20manner%20of%20use%20of%20mew%20materials%20or%20assemblies%20as%20provided%20for%20in%20Section%20104.2.3.
- 11 https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-tests#1703.4.2
- 12 https://up.codes/viewer/mississippi/ibc-2024/chapter/2/definitions#approved_agency
- ¹³ https://up.codes/viewer/mississippi/ibc-2024/chapter/2/definitions#approved_source
- https://www.law.comell.edu/uscode/text/18/1832 (b) Any organization that commits any offense described in subsection (a) shall be fined not more than the greater of \$5,000,000 or 3 times the value of the stolen trade secret to the organization, including expenses for research and design and other costs of reproducing the trade secret that the organization has thereby avoided. The <u>federal government</u> and each state have a <u>public records act</u>. To follow DTSA and comply state public records and trade secret legislation requires approval through ANAB ISO/IEC 17065 accredited certification bodies or approved sources. For more information, please review this website: Intellectual Property and Trade Secrets.
- 15 <u>https://www.nspe.org/resources/issues-and-advocacy/professional-policies-and-position-statements/regulation-professional AND https://apassociation.org/list-of-engineeringboards-in-each-state-archive/</u>
- ¹⁶ <u>https://www.cbitest.com/accreditation/</u>
- 17 https://up.codes/viewer/mississippi/libc-2024/chapter/1/scope-and-administration#104.1:~:text=directed%20to%20enforce%20the%20provisions%20of%20this%20code
- 18 <u>https://up.codes/viewer/mississippi/ibc-2024/chapter/1/scope-and-administration#104.2.3</u> AND <u>https://up.codes/viewer/mississippi/ibc-2024/chapter/1/scope-and-administration#105.3.1</u>
- ¹⁹ <u>https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-tests#1707.1</u>
- 20 https://iaf.nu/en/about-iaf-

mla/#:~:text=Once%20an%20accreditation%20body%20is%20a%20signatory%20of%20the%20IAF%20MLA%2C%20it%20is%20required%20to%20recognise%20certificates%20 and%20validation%20and%20verification%20statements%20issued%20by%20conformity%20assessment%20bodies%20accredited%20by%20all%20other%20signatories%20of %20the%20IAF%20MLA%2C%20with%20the%20appropriate%20scope

- ²¹ True for all ANAB accredited product evaluation agencies and all International Trade Agreements.
- 22 https://www.justice.gov/crt/deprivation-rights-under-color-law AND https://www.justice.gov/atr/mission
- ²³ Unless otherwise noted, the links referenced herein use un-amended versions of the 2024 International Code Council (ICC) 2024 International Code Council (ICC) model codes as foundation references. Mississippi versions of the <u>IBC 2024</u> and the <u>IRC 2024</u> are un-amended. This material, product, design, service and/or method of construction also complies with the 2000-2012 versions of the referenced codes and the standards referenced therein. As pertinent to this technical and code compliance evaluation, CBI and/or DrJ staff have reviewed any state or local regulatory amendments to assure this report is in compliance.
- ²⁴ <u>https://www.ecfr.gov/current/title-24/subtitle-B/chapter-XX/part-3280/p-3280.2(Listed%20or%20certified)</u>; <u>https://up.codes/viewer/mississippi/ibc-2024/chapter/2/definitions#listed</u> AND <u>https://up.codes/viewer/mississippi/ibc-2024/chapter/2/definitions#labeled</u>
- ²⁵ https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-tests#1703.4
- https://www.ecfr.gov/current/litle-24/subtitle-B/chapter-XX/part-3280#:~:text=All%20construction%20methods%20shall%20be%20in%20conformance%20with%20accepted%20engineering%20practices%20to%20insure%20durable%2C%20liv able%2C%20and%20safe%20housing%20and%20shall%20demonstrate%20acceptable%20workmanship%20reflecting%20journeyman%20quality%20of%20work%20of%20the% 20various%20trades
- 27 <u>https://www.ecfr.gov/current/title-24/subtitle-B/chapter-XX/part-3280#:~:text=The%20strength%20and%20rigidity%20of%20the%20component%20parts%20and/or%20the%20integrated%20structure%20shall%20be%20determined%20by%20 engineering%20analysis%20or%20by%20suitable%20load%20tests%20to%20simulate%20the%20actual%20loads%20and%20conditions%20of%20application%20that%20occur</u>
- Qualification is performed by a legislatively defined <u>Accreditation Body</u>. <u>ANSI National Accreditation Board (ANAB)</u> is the largest independent accreditation body in North America and provides services in more than 75 countries. <u>DrJ</u> is an ANAB accredited <u>product certification body</u>.





- 29 <u>https://anabpd.ansi.org/Accreditation/product-certification/AllDirectoryDetails?prgID=1&orgID=2125&statusID=4#:~:text=Bill%20Payment%20Date-,Accredited%20Scopes,-13%20ENVIRONMENT.%20HEALTH</u>
- 30 See Code of Federal Regulations (CFR) Title 24 Subtitle B Chapter XX Part 3280 for definition: https://www.ecfr.gov/current/title-24/subtitle-B/chapter-XX/part-3280
- 31 2018: https://up.codes/viewer/wyoming/ifc-2018/chapter/1/scope-and-administration#104.9 AND 2021: https://up.codes/viewer/wyoming/ibc-2021/chapter/1/scope-andadministration#104.11
- ³² Approved is an adjective that modifies the noun after it. For example, Approved Agency means that the Agency is accepted officially as being suitable in a particular situation. This example conforms to IBC/IRC/IFC Section 201.4 (https://up.codes/viewer/mississippi/ibc-2024/chapter/2/definitions#201.4) where the building code authorizes sentences to have an ordinarily accepted meaning such as the context implies.
- ³³ https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-tests#1707.1
- ³⁴ Multilateral approval is true for all ANAB accredited product evaluation agencies and all International Trade Agreements.
- 35 http://www.drjengineering.org/AppendixC AND https://www.law.cornell.edu/uscode/text/18/part-l/chapter-90
- ³⁶ https://www.law.cornell.edu/uscode/text/18/1832#:~:text=imprisoned%20not%20more%20than%2010%20years
- ³⁷ https://www.law.comell.edu/uscode/text/18/1832#:~:text=Any%20organization%20that,has%20thereby%20avoided
- ³⁸ <u>https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-tests#1706.2</u>
- ³⁹ IBC 2024, Section 1706.1 Conformance to Standards
- ⁴⁰ IBC 2024, Section 1707 Alternative Test Procedure, 1707.1 General
- ⁴¹ See **Section 11** for the distilled building code definition of **Approved**.
- ⁴² Los Angeles Municipal Code, SEC. 98.0503. TESTING AGENCIES
- ⁴³ <u>https://up.codes/viewer/california/ca-building-code-2022/chapter/17/special-inspections-and-tests#1707.1</u>
- 44 New York City, The Rules of the City of New York, § 101-07 Approved Agencies
- ⁴⁵ New York City, The Rules of the City of New York, § 101-07 Approved Agencies
- 46 2018: <u>https://up.codes/viewer/new_jersey/ibc-2018/chapter/17/special-inspections-and-tests#1707.1</u> AND 2021: <u>https://up.codes/viewer/new_jersey/ibc-2021/chapter/17/special-inspections-and-tests#1707.1</u>
- 47 https://www.nj.gov/dca/divisions/codes/codreg/ucc.html
- ⁴⁸ <u>https://www.ecfr.gov/current/title-24/section-3282.14</u>
- ⁴⁹ https://www.ecfr.gov/current/title-24/part-3280
- ⁵⁰ 2024 IBC Section 1706 Design Strengths of Materials (https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-tests#1706) AND 2024 IBC Section 1706.2 New Materials (https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-tests#1706.2) Adopted law pursuant to IBC model code language 1706.2.
- ⁵¹ IBC 2024, Section 1707 Alternative Test Procedure, 1707.1 General (https://up.codes/viewer/mississippi/ibc-2024/chapter/17/special-inspections-and-tests#1707.1) Adopted law pursuant to IBC model code language 1707.1.
- ⁵² <u>https://www.nspe.org/resources/issues-and-advocacy/professional-policies-and-position-statements/regulation-professional AND https://apassociation.org/list-of-engineeringboards-in-each-state-archive/</u>
- ⁵³ IBC 2024, Section 1706 Design Strengths of Materials and IBC 2024 Section 1706.1 Conformance to Standards Adopted law pursuant to IBC model code language 1706.1.
 ⁵⁴ IAF MLA: https://iaf.nu/en/about-iaf-

mla/#:~:text=Once%20an%20accreditation%20body%20is%20a%20signatory%20of%20the%20IAF%20MLA%2C%20it%20is%20required%20to%20recognise%20certificates%20 and%20validation%20and%20verification%20statements%20issued%20by%20conformity%20assessment%20bodies%20accredited%20by%20all%20other%20signatories%20of %20the%20IAF%20MLA%2C%20with%20the%20appropriate%20scope

- ⁵⁵ True for all ANAB accredited product evaluation agencies and all International Trade Agreements.
- ⁵⁶ <u>https://www.justice.gov/crt/deprivation-rights-under-color-law</u> AND <u>https://www.justice.gov/atr/mission</u>